PPS-104US PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No: Applicant:

To Be Assigned Joseph Urban et al.

Filed:

Herewith

Title:

SYSTEM AND METHOD FOR IMPROVING AND PROMOTING

COMPLIANCE TO A THERAPEUTIC REGIMEN

TC/A.U.: Examiner: To Be Assigned To Be Assigned

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of the non-patent listed reference is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed concurrently with the abovereferenced application.

Respectfully submitted,

Joshua L. Cohen, Reg. No. 38,040

Attorney for Applicants

JLC/ap

Enclosures: PTO Form 1449

(1) Reference

Dated: February 12, 2004

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I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the Commissioner for Patents, P.O. Box 1450, VA 22313 450.

Michael Donnelly

Substitute for Form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 2

|                      | Complete if Known   |  |
|----------------------|---------------------|--|
| Application Number   | To Be Assigned      |  |
| Filing Date          | Herewith            |  |
| First Named Inventor | Joseph Urban et al. |  |
| Art Unit             | To Be Assigned      |  |
| Examiner Name        | To Be Assigned      |  |
| Attorney Docket No.  | PPS-104US           |  |

|                       |              |   | U.S. PATENT                      | OCUMENTS   |   |
|-----------------------|--------------|---|----------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No.1 | Document Number  Number - Kind Code <sup>2 (if known)</sup> | Publication Date<br>(MM-DD-YYYY) | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |              | US-5,623,242  | 04/22/1997                       | Dawson, Jr. et al.                                 | Entire Document   |
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|                       |              | 6,663,846 B1  | 12/16/2003                       | McCombs et al.                                     | Entire Document   |

| Examiner  | Date       |
|-----------|------------|
|           | Date       |
| Signature | Considered |
|           | Considered |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Applicant's unique citation designation number (optional).

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3Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

4For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

5Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

6Applicant is to place a check mark here if English language translation is attached.

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| Substitute for Form 1449B/PTO                 | Complete if Known    |                     |  |
|---|----------------------|---------------------|--|
|   | Application Number   | To Be Assigned      |  |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | Filing Date          | Herewith            |  |
|   | First Named Inventor | Joseph Urban et al. |  |
| (Use as many sheets as necessary)             | Art Unit             | To Be Assigned      |  |
|   | Examiner Name        | To Be Assigned      |  |

Attorney Docket No.

SHEET 2 of 2

PPS-104US

Considered

| NON-PATENT LITERATURE DOCUMENTS |              |  |                |  |
|---------------------------------|--------------|--|----------------|--|
| Examiner<br>Initials*           | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T <sup>2</sup> |  |
|                                 |              | Datascout Software Brochure, "Innovative Software for Clinical Research".  |                |  |
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| Examiner                        | T            | Date   |                |  |

Applicant's unique citation designation number (optional).

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